

COMMISSION SCHEDULE

WINTRUST INVESTMENTS

WINTRUST®
WEALTH MANAGEMENT

Equity, ETF and Closed-End Funds

Gross Transaction	Commission Rate
First \$5,000	2%
Next \$5,000	1.60%
Next \$10,000	1.00%
Next \$10,000	0.50%
Next \$70,000	0.45%
Next \$150,000	0.40%
Next \$250,000	0.30%
Next \$500,000	0.20%
Over \$1,000,000	0.15%

A Minimum charge is \$100 or 5% of gross transaction, whichever is less.

Options

Gross Transaction	Commission Rate
\$0 to \$7,500	2.00%
\$7,501 to \$15,000	1.70%
\$15,001 and Over	1.20%

Plus \$5.00 per option on trades of two or more.

Maximum charge is \$90.00 per option or 5% of gross transaction, whichever is less.

Bonds

Bond mark ups and mark downs are dependent on a number of factors, including bond rating, the number of years until maturity and the size of the order. The below rates summarize the range we would charge for a bond of a given bond rating. The AAA scale will be used for US Government and Agency bonds.

Quality	Mark Up/Mark Down
AAA Bonds	1.00% - 2.25%
AA Bonds	1.00% - 2.50%
A - BBB Bonds	1.25% - 3.00%
Below Investment Grade	1.25% - 3.50%

These general guidelines are intended to cover the vast majority of all trading and exceptions are subject to individual trade review. Minimum firm ticket charge is set at \$100 per trade or 5% of gross transaction, whichever is less. Cross trades, while viewed as separate transactions, shall not exceed 125% of the individual suggested markup when combined (subject to de minimus exception).

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*A charge of \$5.00, in addition to any commission charge, will be added to all confirmations to cover the cost of handling. This commission schedule is subject to change without notice.

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Investment products such as stocks, bonds, and mutual funds are:

NOT FDIC INSURED | NOT BANK GUARANTEED | MAY LOSE VALUE | NOT A DEPOSIT | NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY

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